



---

Contact: Stephanie Ptak  
617-624-7134  
[Sptak@dalbar.com](mailto:Sptak@dalbar.com)

## Thomas Chipain Earns 2011 DALBAR Certified ERISA 3(38) Adviser Award

(Boston, MA October 6, 2011) Thomas Chipain of MËTIS Investment Strategies has been certified by DALBAR, Inc. to be qualified assume the responsibility of as ERISA 3(38) Adviser. This certification grants Thomas Chipain the rights to use the designation of DALBAR Certified ERISA 3(38) Adviser and to disclose the accompanying credentials to the public and in particular to plan fiduciaries who are obligated to prudently select and monitor their advisers.

"The DALBAR Certification permits MËTIS Investment Strategies to advertise our client ratings and simplifies the duties of a plan sponsor who engages us" said Thomas Chipain, adding "It is a real advantage to show potential clients the trust, financial performance, quality of service and advice provided to our existing clients."

In order to earn the certification Thomas Chipain underwent a due diligence process. The due diligence process consisted of a verification of qualifications and detailed disclosures, an extensive background check, an in-depth evaluation by his clients and an assessment of the alignment of contract terms with services offered. This due diligence is consistent with the guidelines provided by United States Department of Labor for advisers operating under the Employee Retirement Income Security Act of 1974, as amended [ERISA] Section 3(38) and associated regulations.

The documentation produced from the due diligence process provides plan fiduciaries with the basis for selecting and monitoring Thomas Chipain as required by ERISA.

### About MËTIS Investment Strategies

MËTIS Investment Strategies is an investment management firm, specializing in actively managed Exchange Traded Fund (ETF) models and quantitative (quant) ETF models. They are actively managed to protect the client through volatile market downturns and capture most of the upturns in good markets. MËTIS uses strict, disciplined risk management to shield the client from extreme sell-offs in the overall market. MËTIS' five proprietary managed models include Capital Preservation and Income, Conservative Growth and Income, Balanced Growth, Capital Growth, Aggressive Growth, plus the Alpha Quant and Low Beta, Low Volatility Quant models.

All models are available to Advisor clients, institutional investors, endowments, pensions and employee retirement plans (401k, 403b, 457)

### About DALBAR, Inc.

DALBAR has a 30-year history and is recognized by industry and government as an

independent third party expert in the business of providing evaluations, ratings and due diligence. DALBAR certifications are recognized as mark of excellence in the financial community for advice services, communications, electronic and telephone services. DALBAR's experience and expertise includes retirement plans, broker/dealers, insurance companies, investment advisers and investment companies.

###

---

<http://dalbar.com>