

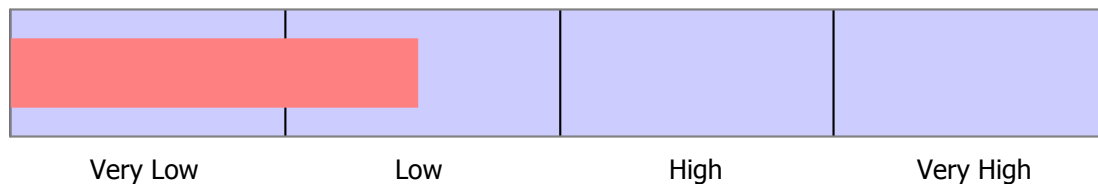
## EXPOSURE RISK CALCULATION PREPARED FOR: SAMPLE

07/18/2012

### DISCLAIMER

Estimates of risks and financial exposure presented are the result of calculations that we believe to be fair representations of the calculations that regulators could perform to target specific plans and service providers. These results are not intended to represent the results that any regulator has made or approved. The results are intended only to show what can be done with the available data to identify plans that are outside normal ranges and the level of financial risk that could be involved. See [www.ERISAFeeDisclosure.com](http://www.ERISAFeeDisclosure.com) for more information.

### RISK METER



Risk Score (1 – 100)	37
Estimated Financial Exposure	\$1,173,000
Average annual cost per participant	\$1,272

### IMPORTANT NOTICE

This Exposure Risk Calculation **does NOT make this plan comply** with regulations. For information on **how to make this plan compliant** please contact DALBAR at:

e-mail: [ERISAFeeDisclosure@DALBAR.com](mailto:ERISAFeeDisclosure@DALBAR.com)  
or Website: [www.ERISAFeeDisclosure.com](http://www.ERISAFeeDisclosure.com)

## SUPPORTING INFORMATION

### PLAN DATA USED

Plan type	401(k)
Start Year	2002
Start Assets (in \$ millions)	10
Current Year	2011
Assets (in \$ millions)	22
Employer Paid Expenses	0 BP
Participant Expenses	85 BP
Number of Participants	147
Investment Type	Mutual Funds